



7/6/28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark J. Smith
Serial No.: 10/037,430
Filed: October 25, 2001

Examiner: Clement B. Graham
Group Art Unit: 3628
Docket: 104.0010001

Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT

MS NON-FEE AMENDMENT

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ Return postcard
- ☒ Amendment and Response Under 37 C.F.R. §1.111 (12 pgs.)

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: **MS NON-FEE AMENDMENT** Commissioner for Patents, Alexandria, VA 22313-1450, on this 16th day of July, 2003.

Sarah L. Reinhard
Name
Sarah L. Reinhard
Signature

Respectfully Submitted,
Mark J. Smith

By: E.J. BROOKS & ASSOCIATES, PLLC
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